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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **Leung et al.**

Group Art Unit: **2121**

Serial No.: **09/751,576**

Examiner: **Unknown**

Filed: **December 29, 2000**

Attorney Docket No.: **AUS920000797US1**

For: **Method and Apparatus in a Data Processing System for a Keystore**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on March 29, 2001.

By:

Krista Douthitt  
Krista Douthitt

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Response to Notice to File Missing Parts of Nonprovisional Application;
- Copy of Notice to File Missing Parts of Nonprovisional Application;
- Declaration and Power of Attorney;
- Assignment;
- Letter to the Official Draftsman;
- 3 sheets of drawings; and
- Our return postcard.

A fee of \$130.00 is believed to be required. Please charge this fee to **IBM Corporation Deposit Account No. 09-0447**. In the event that any additional fees are required for the prosecution of this application, please charge the necessary additional fees to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

Duke W. Yee  
Duke W. Yee  
Registration No. 34,285  
CARSTENS, YEE & CAHOON, LLP  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANT



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In re application: **Leung et al.**

Serial No.: **09/751,576**

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By: Krista Douthitt  
Krista Douthitt

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

A Notice to File Missing Parts of Nonprovisional Application was received by Applicant stating that the signatures of *Lok Yan Leung* and *Bruce Arland Rich* were missing from the original filing. Enclosed are the missing signatures.

A fee of \$130.00 is necessary at this time and please charge this fee to **IBM Corporation Deposit Account No. 09-0447**. In the event that any additional fees are required for the prosecution of this application, please charge any additional fees to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested. Please charge the fee for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,576	12/29/2000	Lok Yan Leung	AUS920000797US1

CONFIRMATION NO. 8367

FORMALITIES LETTER



\*OC000000005762059\*

Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P. O. Box 802334  
Dallas, TX 75380

Date Mailed: 02/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Lok Yan Leung and Bruce Arland Rich*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*RR*  
*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY